RECEIVED
CENTRAL FAX CENTER

FEB 0 6 2009

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

			_
App	حمنا	nt-	ł
ADL	11100	1116	

ROGER M. SNOW

Examiner:

NGUYEN, B. A. D.

Serial No.

10/770,613

Group Art Unit:

3714

Filed:

February 2, 2004

Docket No.

PA0967.ap.US

Title:

SPECIAL MULTIPLIER BONUS GAME IN PAI GOW POKER VARIANT

MAIL STOP APPEAL BRIEF - PATENTS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being facsimile transmitted to the United States Patent and Trademark Office, addressed to: Mail Stop APPEAL BRIEF-PATENTS, Commissioner for Patents, PO Box 1450, Alexandria, VA 223131450 on 6 February 2009.

Mark A. Litman Name

Signature

The following documents are hereby submitted:

- Appeal Brief to the Board of Patent Appeals and Interferences of the United States Patent and Trademark Office with Authorization to withdraw \$540.00 to cover Appeal Brief Fee

Please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers if an additional extension of time is deemed necessary by the Office. Authorization is hereby given to charge Deposit Account Number 50-1391 if such additional extension is necessary.

Respectfully submitted,

ROGER M. SNOW

By His Representatives,

MARK A. LITMAN & ASSOCIATES, P.A. York Business Center, Suite 205 3209 West 76th Street Edina, Minnesota 55435

(952) 832-9090

Date 6 February 2009

Mark A. Litman Reg. No. 26,390 MARK A. LITMAN & ASSOC

RECEIVED CENTRAL FAX CENTE和 0003/0028

FEB 0 6 2009

Docket No.: PA0967.ap.US

Page 1 of 26

BRIEF ON APPEAL
Serial Number: 10/770,613

Filing Date: February 2, 2004
Title: SPECIAL MULTIPLIER BONUS IN PAI GOW POKER VARIANT

S/N 10/770,613

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: ROGER M. SNOW

Serial No.: 10/770,613

Filed: FEBRUARY 2, 2004

Examiner: NGUYEN, B.A.D.

Group Art Unit: 3714
Docket No.: PA0967.ap.US

Title: SPECIAL MULTIPLIER BONUS GOW POKER VARIANT

MAIL STOP: APPEAL BRIEF - PATENTS

P.O. BOX 1450

Commissioner for Patents Alexandria, VA22313-1450

Sir:

The U.S. Patent and Trademark Office is hereby authorized to debit any costs and fees associated with this Petition to Deposit Account No. 50-1391. Appellant(s) is submitting this single copy of the Appeal Brief in Compliance with the requirements of 37 CFR 41.37(c). Appellant requests a personal appearance at the Board of Appeals, but will defer payment of the fee until after receipt of the Examiner's Answer.

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being sent by facsimile transmission or deposited in the United States Postal Service, as first class mall, with sufficient postage, in an envelope addressed to: MAIL STOP: APPEAL BRIEF-PATENTS, P.O. BOX 1450, Commissioner for Patents, Alexandria, VA 22318-100 6 February 2009.	
Mark A. Litman Name Signature	

02/09/2009 VBUI11 00000008 501391 10770613

01 FC:1402

540.00 DA

MARK A. LITMAN & ASSOCIATES, P.A.

PATENT, TRADEMARK & COPYRIGHT ATTORNEYS

York Business Center, Suite 205

3209 West 76th Street

Edina, MN 55435

RECEIVED CENTRAL FAX CENTER

FEB 0 6 2009

Telephone (952)832.9090

Facsimile (952)832.9191

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	ROGER M. SNOW	Examiner:	NGUYEN, B. A. D.
Serial No.	10/770,613	Group Art Unit:	3714
Filed:	February 2, 2004	Docket No.	PA0967.ap.US
Title:	SPECIAL MULTIPLIER E	ONUS GAME IN PAI GO	OW POKER VARIANT
		28 page(s) including	
Facsimile (- - : -		
_	n, DC 20231	TELEPHO	NE: <u>(571) 272-1397</u>
FAX NUM	IBER <u>: (571) 273-8300</u>		
* Please de	eliver to MAIL STOP: AP	PEAL BRIEF - PATEN	ITS
NUMBER	TTER AUTHORIZES THE 2 50-1391 FOR FEES ASSO ESSARY.		
NUMBER ARE NEC	50-1391 FOR FEES ASS ESSARY.	DCIATED WITH THIS	RESPONSE, IF SUCH 1
NUMBER ARE NEC	E 50-1391 FOR FEES ASSICESSARY. s of this transmission, include	DCIATED WITH THIS	response, if such i
NUMBER ARE NEC Total page: If you do N	50-1391 FOR FEES ASS ESSARY.	ling this COVER SHEET described above, please	response, if such i
NUMBER ARE NEC Total page: If you do N	E 50-1391 FOR FEES ASSICESSARY. Is of this transmission, included the second s	described above, please	response, if such i
NUMBER ARE NEC Total page: If you do N	E 50-1391 FOR FEES ASSICESSARY. Is of this transmission, included the second s	described above, please D1.	TESPONSE, IF SUCH I
NUMBER ARE NEC Total pages If you do N 952.832.90	E 50-1391 FOR FEES ASSICESSARY. Is of this transmission, included the second s	described above, please of the state of the	telephone us at Walk A. Litman 3. No. 26,390
NUMBER ARE NEC Total pages If you do N 952.832.90	E 50-1391 FOR FEES ASSICESSARY. Is of this transmission, included of the pages 1990, or fax us at 952.832.919	described above, please of the line of the	telephone us at Walk A. Litman No. 26,390 d States Patent and Trademark
NUMBER ARE NEC Total pages If you do N 952.832.90	E 50-1391 FOR FEES ASSEESSARY. Is of this transmission, included the second of the pages 1990, or fax us at 952.832.919 If that this paper is being transmission that shown below.	described above, please of the line of the	telephone us at Walk A. Litman 3. No. 26,390

This transmission contains information that is confidential and/or legally privileged. It is intended for use only by the person to whom it is directed. If you have received this facsimile in error, please notify us by telephone immediately so that we can arrange for the return of the original documents to us. If you do NOT receive all of the pages, please telephone us in the U.S.A. at (952) 832.9090 or FAX us at (952)832.9191.

Serial Number: 10/770,613 Filing Date: February 2, 2004

10. Related proceedings appendix

Page 2 of 26

Docket No.: PA0967.ap.US

26

SPECIAL MULTIPLIER BONUS IN PAI GOW POKER VARIANT

TABLE OF CONTENTS 3 1. Real party in interest page(s) 2. Related appeals and interferences page(s) 3. Status of claims page(s) 4. Status of amendments page(s) 7-8 5. Summary of claimed subject matter page(s) 6. Grounds of rejection to be reviewed on appeal page(s) 10-19 7. Argument page(s) 20-24 8. Claims appendix page(s) 25 9. Evidence appendix page(s)

page(s)

Serial Number: 10/770,613 Filing Date: February 2, 2004

SPECIAL MULTIPLIER BONUS IN PAI GOW POKER VARIANT

Page 3 of 26

Docket No.: PA0967.ap.US

REAL PARTY IN INTEREST

The real party in interest in this Appeal is the assignee of the full right, title and interest in this Application, Shuffle Master, Inc., having a place of business at 1106 Palms Airport Drive, Las Vegas, Nevada 89119-3730.

Page 4 of 26 Docket No.: PA0967.ap.US

SPECIAL MULTIPLIER BONUS IN PAI GOW POKER VARIANT

RELATED APPEALS AND INTERFERENCES

The Appellant(s), the legal representative prosecuting this application and Appeal, and the assignee are not aware of any Appeals or Interferences that will directly affect or have a bearing on the Board's of Patent Appeals and Interferences decision in this pending Appeal.

Serial Number: 10/770,613 Filing Date: February 2, 2004

SPECIAL MULTIPLIER BONUS IN PAI GOW POKER VARIANT

Page 5 of 26

Docket No.: PA0967.ap.US

STATUS OF CLAIMS

Claims 1-30 have been finally rejected under 35 USC 103(a) as unpatentable over US Patent No. 5,863,041 (Boylan) in view of US Patent No. 4,948,134 (Suttle).

Claim 1-30, all of the claims in this Application, are On Appeal.

Filing Date: February 2, 2004
Title: SPECIAL MULTIPLIER BONUS IN PAI GOW POKER VARIANT

Page 6 of 26 Docket No.: PA0967.ap.US

STATUS OF AMENDMENTS

No Amendments have been submitted to the claims in this Application during prosecution, including after the Final Rejection. All claims in this Appeal are original claims as filed.

Page 7 of 26

Docket No.: PA0967.ap.US

SPECIAL MULTIPLIER BONUS IN PAI GOW POKER VARIANT

SUMMARY OF CLAIMED SUBJECT MATTER

CLAIMS 1 AND 12 ARE THE ONLY INDEPENDENT CLAIMS IN THIS APPEAL

- 1. A method of playing a Pai Gow poker wagering card game comprising: [Page 8, lines 2-3]
 - a. Players wagering on a Pai Gow game; [Page 9, lines 9-10]
 - b. Players placing either an optional or mandatory wager against a pay table on a separate poker-type game that uses a best five-card hand from each player's hand; [Page 9, lines 11-18]
 - c. dealing seven-card hands from a set of cards to multiple player positions and one dealer position; [Page 16, lines 1-5]
 - d. resolving the game of Pai Gow poker; [Page 8, lines 13-15]
 - e. forming best five-card poker hands for at least each player having placed the wager against the pay table; [Page 9, lines 11-18]
 - f. resolving wagers with players who have placed the optional wager when that player's best five-card poker hand equals or exceeds a predetermined rank; and [Page 9, lines 11-18]
 - g. providing an additional award to players who have placed the optional wager i) when that player's best five-card poker hand equals or exceeds a predetermined rank and ii) when a best five-card hand for the dealer is equal to or less than a predetermined rank. [Page 9, lines 23-28]
- 12. A method of playing a Pai Gow poker wagering card game comprising: [Page 8, lines 2-3]
 - a. Players wagering on a Pai Gow game; [Page 9, lines 9-10]
 - b. Players placing an optional wager on a separate poker-type game that uses best five-card hands from player cards; [Page 9, lines 11-18]
 - c. dealing seven-card hands to six player positions and one dealer position;
 [Page 16, lines 1-5]

Title:

BRIEF ON APPEAL Serial Number: 10/770.61

Serial Number: 10/770,613 Filing Date: February 2, 2004 Page 8 of 26

Docket No.: PA0967.ap.US

- d. resolving the game of Pai Gow poker; [Page 8, lines 13-15]
- e. comparing each best five-card poker hand made from player cards against a pay table for predetermined hand ranks; [Page 9, lines 11-18]
- f. paying players who have both placed the additional wager and provided hands of at least the predetermined rank according to the pay table and rules of the separate poker-type game played with best five-card hands of the players; and [Page 9, lines 11-18]
- g. if a best 5-Card hand for the dealer from the dealer's Pai Gow cards is equal to or less than a predetermined rank, increasing the amount of payment to players from f). [Page 9, lines 23-28]

Page 9 of 26 Docket No.: PA0967.ap.US

SPECIAL MULTIPLIER BONUS IN PAI GOW POKER VARIANT

GROUNDS OF REJECTION TO BE REVIEWED ON APPEAL

Solely for the purposes of expediting this Appeal and complying with the requirements of 37 C.F.R. 1.192(c)(7), the following grouping of claims is presented. This grouping is not intended to constitute any admission on the record that claims within groups may or may not be independently asserted in subsequent litigation or that for any judicial determination other than this Appeal, the claims may or may not stand by themselves against any challenge to their validity or enforceability.

The sole ground of rejection in the present application is that Claims 1-30 have been finally rejected under 35 USC 103(a) as unpatentable over US Patent No. 5,863,041 (Boylan) in view of US Patent No. 4,948,134 (Suttle).

SPECIAL MULTIPLIER BONUS IN PAI GOW POKER VARIANT

Page 10 of 26 Docket No.: PA0967.ap.US

ARGUMENT

1) Claims 1-30 have been finally rejected under 35 USC 103(a) as unpatentable over US Patent No. 5,863,041 (Boylan) in view of US Patent No. 4,948,134 (Suttle).

Response to Examiner's Comments Made in the Final Rejection

The Examiner has provided helpful comments on pages 6 and 7 of the Final Office Action that explain the position of the PTO based on responses to arguments previously provided by Applicants. It is believed that these comments can be fairly summarized as follows:

- a. Boylan is asserted to teach every element of the claimed subject matter except for:
 - i. i) providing an additional award to players who have placed an additional wager (e.g., Play wager after placing the Ante wager);
 and
 - ii. Requiring the Dealer hand to be equal to or less than a predetermined rank to increase the payout to a player making this wager.
- b. Suttle is asserted to teach i) and ii); and
- c. It then is asserted to be obvious to combine the Suttle steps of i) and ii) into the Boylan game to provide a player with more winning chances.

At least one major problem with this analysis is that Suttle does not teach the recited step of ...ii) when a best five-card hand for the dealer is equal to or less than a predetermined rank." Without that teaching, the rejection is fatally flawed.

Suttle actually teaches providing a payout on a Play wager ONLY when the Dealer hand equals or EXCEEDS a minimum rank. The play in Suttle awards additional amounts only when the Player's hand is better than at particular ranked hand of the dealer, usually A-K or better. This in effect lets the Dealer fold against the Play wager if the dealer hand has a very low chance of winning against a player hand and the player would be paid on multiple wagers (the Ante and Play wager).

Serial Number: 10/770,613

Filing Date: February 2, 2004

SPECIAL MULTIPLIER BONUS IN PAI GOW POKER VARIANT

Page 11 of 26 Docket No.: PA0967.ap.US

The presently claimed steps require an opposite effect and opposite rule of play.

In the claimed subject matter on this Appeal:

PAGE 13/13 * RCVD AT 2/6/2009 4:27:27 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-4/4 * DNIS:2738300 * CSID:9528329191 * DURATION (mm-ss):15-34